

NY

MILBANK, TWEED, HADLEY & McCLOY LLP

LOS ANGELES
213-892-4000
FAX: 213-629-5063

PALO ALTO
650-739-7000
FAX: 650-739-7100

WASHINGTON, D.C.
202-835-7500
FAX: 202-835-7586

LONDON
44-207-448-3000
FAX: 44-207-448-3029

FRANKFURT
49-69-7593-7170
FAX: 49-69-7593-8303

TOKYO
81-3-3504-1050
FAX: 81-3-3595-2790

HONG KONG
852-2-971-4888
FAX: 852-2-840-0792

SINGAPORE
65-6428-2400
FAX: 65-6428-2500

1 CHASE MANHATTAN PLAZA
NEW YORK, NY 10005-1413

212-530-5000
FAX: 212-530-5219

OFFICIAL**RECEIVED**
CENTRAL FAX CENTER

NOV 05 2003

FACSIMILE TRANSMISSION**To:** Vincent V. Tran, Examiner**Tel. Number:**
Fax Number: 1-703-872-9314**From:** James R. Klaiber**Tel. Number:** 212-530-5363
Fax Number: 212-822-5174**Date/Time:** Wednesday, November 05, 2003 11:24:44 AM**Number of Pages (including this cover page):** 36
Contact Sender upon receipt: Yes**MESSAGE:**

RE: 09/821,671

Please see the enclosed IDS and stamped postcard showing U.S.P.T.O.
receipt on May 29, 2001.

James R. Klaiber
Reg. No. 41,902
Attorney of Record

CONFIDENTIALITY NOTE:

The information contained in this facsimile message may contain legally privileged and/or confidential information and is intended only for the use by the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or reproduction of this facsimile is strictly prohibited. If you have received this facsimile in error, we would appreciate your notifying us at 212-530-5014. Thank you.

~~RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE~~

Applicant: YAMADA

Serial No.: 09/821,671

Filed: March 29, 2001

Group Art Unit: 2641

For: SPEECH SYNTHESIZING METHOD AND APPARATUS

1. Information Disclosure Statement
2. Form 1449
3. Cited references

Docket No.: 36409-00900

BOX-NO FEE



PATENT

Docket No.: 36409-00900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Masayuki YAMADA
Serial No. : 09/821,671 Group Art Unit : 2641
Filed : March 29, 2001 Examiner : TBA
For : **SPEECH SYNTHESIZING METHOD AND APPARATUS**
CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

Box No Fee
COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

I hereby certify that the attached:

1. Information Disclosure Statement (in duplicate);
2. Form 1449;
3. Copies of cited references; and
4. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents, Washington, D.C. 20231.

Respectfully submitted,
Milbank Tweed Hadley & McCloy LLP


Chris L. Holm

May 25, 2004
Milbank Tweed Hadley & McCloy LLP
1 Chase Manhattan Plaza
New York, NY 10005
(212)530-5000 / (212)530-5219 (facsimile)

NY2#4415423

#7
fd
11/7/03PATENT
Docket No.: 36409-00900IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**OFFICIAL****RECEIVED
CENTRAL FAX CENTE**

Applicant(s) : Massayuki YAMADA
Serial No. : 09/821,671 Group Art Unit : 2641
Filed : March 29, 2001 Examiner : TBA
For : SPEECH SYNTHESIZING METHOD AND APPARATUS
INFORMATION DISCLOSURE STATEMENT

Box No Fee
COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. [] For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
2. [] For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. [] Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior [] Continuation, [] Divisional or [] Continuation-In-Part application under 37 C.F.R. §1.60, U.S. Serial No. , filed
4. [X] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
 - [X] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
 - [] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.
 - [] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.
5. [] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
 - [] A check in the amount of \$240.00 is enclosed in payment of the fee.

NY2:#4415396

PATENT
Docket No.: 36409-00900

[] Charge the fee to Deposit Account No. 13-4500. Order No. 36409-00900. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - the attached petition requesting consideration of this Information Disclosure Statement; and
 - the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
- [] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;
 - [] 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.
 - c. The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.
9. [] I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- [] I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. [] A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(f)(1).
- [] Charge the fee due under 37 C.F.R. §1.17(j)(1) to Deposit Account No. 13-3250. Order No. 36409-00900.
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-3250. Order No. 36409-00900. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
11. The Examiner is requested to consider the following pending applications, as possibly relevant to the instant invention: U.S. Patent Application Serial Nos. 09/301,674 (filed March 5, 1999); 09/301,669 (filed March 5, 1999); & 09/301,760 (filed on March 9, 1999).

NY2#4415396

PATENT

Docket No.: 36409-00900

Respectfully submitted,
MILBANK, TWEED, HADLEY & McCLOY LLP



Chris L. Holm
Reg. No.: 39,227

May 25, 2001

Milbank, Tweed, Hadley & McCloy LLP
1 Chase Manhattan Plaza
New York, NY 10005-1413
(212) 530-5000 / (212) 530-5219 (facsimile)

NY2:#4415396